



# TRANSMITTAL FORM

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Application Number	10/750,128
Filing Date	December 31, 2003
First Named Inventor	Niniane Wang, et al.
Art Unit	2155
Examiner Name	Unknown
Attorney Docket Number	53051/294552

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
  - ☐ Fee Attached
- ☐ Amendment / Reply
  - ☐ After Final
  - ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
  - ☐ Certified Copy of Priority Document(s)
  - ☐ Response to Missing Parts/Incomplete Application
  - ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
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- ☐ After Allowance Communication to Technology Center (TC)
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- ☐ Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):
  - Form PTO/SB/08 (4 sheets)
  - 34 References
  - Return Receipt Postcard

Remarks

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael J. Turton, Reg. No. 40,852 Kilpatrick Stockton LLP
Signature	
Date	October 13, 2004

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Typed or printed name	Emily Guida	Date	October 13, 2004
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Niniane Wang, et al.

Serial No.: 10/750,128

Filed: December 31, 2003

For: METHODS AND SYSTEMS FOR REGULATING RESOURCE USAGE



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**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith on Form PTO/SB/08 a listing of documents known to the Applicant and/or his attorney. Applicant respectfully requests consideration of the cited documents and making the same of record in the prosecution of the above-identified application.

For several of the documents listed in "Non Patent Literature Documents," the date which is shown on the document is listed. Applicant makes no representation as to the publication date, if any, of the document. Copies of the documents are enclosed.

Applicant does not represent or admit that any document listed is prior art or material, and Applicant reserves the right to challenge any assertion that a document

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CERTIFICATE OF MAILING (37 CFR 1.8a)

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Emily Guida

U.S.S.N.: 10/750,128  
Filed: December 31, 2003  
Information Disclosure Statement

listed is prior art or material. Further, Applicant reserves the right to establish patentability over the listed documents.

It is respectfully requested that the references listed on the attached form PTO/SB/08 be expressly considered by the Examiner, made of record in the application, and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement ("IDS") is being submitted prior to the mailing of a first Office Action on the merits in this application. Accordingly, it is believed that no fees are due for consideration of this IDS. However, should any fees be due under 37 C.F.R. §1.16 or §1.17 or otherwise, the Commissioner is hereby authorized to charge these fees and any other additional fees which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 11-0855.

Respectfully submitted,



Michael J. Turton  
Reg. No. 40,852

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Attorney Docket No.: 53051/294552

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	4
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**Complete if Known**

<i>Application Number</i>	10/750,128
<i>Filing Date</i>	December 31, 2003
<i>First Named Inventor</i>	Niniane Wang, et al.
<i>Art Unit</i>	2155
<i>Examiner Name</i>	Unknown
<i>Attorney Docket Number</i>	53051/294552

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

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Sheet 2 of 4

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Application Number	10/750,128
Filing Date	December 31, 2003
First Named Inventor	Niniane Wang, et al.
Art Unit	2155
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	9	80-20 Software – Products – 80-20 One Search, <a href="http://www.80-20.com/products/one-search/retriever.asp">http://www.80-20.com/products/one-search/retriever.asp</a> , printed 3/16/2004.	
	10	"askSam™ Making Information Useful," askSam, - Organize your information with askSam, <a href="http://www.asksam.com/brochure.asp">http://www.asksam.com/brochure.asp</a> , printed 3/15/2004.	
	11	Alexa® Web Search – Toolbar Quick Tour, <a href="http://pages.alexa.com/prod_serv/quicktour.html">http://pages.alexa.com/prod_serv/quicktour.html</a> , pp. 1-5, printed 3/16/2004.	
	12	BARRETT, R. et al., "How to Personalize the Web," IBM Research, <a href="http://www.almaden.ibm.com/cs/wbi/papers/chi97/wbiaper.html">http://www.almaden.ibm.com/cs/wbi/papers/chi97/wbiaper.html</a> , pp. 1-13, printed 3/16/2004.	
	13	BATTELLE, J., CNN.com "When geeks go camping, ideas hatch," <a href="http://www.cnn.com/2004/TECH/ptech/01/09/bus2.feat.geek.camp/index.html">http://www.cnn.com/2004/TECH/ptech/01/09/bus2.feat.geek.camp/index.html</a> , pp. 1-3, printed 1/13/2004.	
	14	BOYAN, J., et al., "A Machine Learning Architecture for Optimizing Web Search Engines," School of Computer Science, Carnegie Mellon University, May 10, 1996, pp. 1-8.	
	15	BRADENBAUGH, F., "Chapter 1 The Client-Side Search Engine," <i>JavaScript Cookbook</i> , 1 <sup>st</sup> Ed., October 1999, O'REILLY™ Online Catalog, <a href="http://www.oreilly.com/catalog/iscook/chapter/ch01.html">http://www.oreilly.com/catalog/iscook/chapter/ch01.html</a> , pp. 1-30, printed 12/29/2003.	
	16	BRIN, S., et al., "The Anatomy of a Large-Scale Hypertextual Web Search Engine," <a href="http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm">http://www7.scu.edu.au/programme/fullpapers/1921/com1921.htm</a> , pp. 1-18, 1998.	
	17	BUDZIK, J., et al., "User Interactions with Everyday Applications as Context for Just-in-time Information Access, Intelligent Information Laboratory, Northwestern University, pp. 1-8, no date.	
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	19	dtSearch® – <a href="http://www.dtsearch.com/">http://www.dtsearch.com/</a> , printed 3/15/2004.	

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			Application Number	10/750,128	
			Filing Date	December 31, 2003	
			First Named Inventor	Niniane Wang, et al.	
			Art Unit	2155	
			Examiner Name	Unknown	
			Attorney Docket Number	53051/294552	
Sheet	3	of	4		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	20	DUMAIS, S., et al, "Stuff I've Seen: A System for Personal Information Retrieval and Re-Use," Microsoft Research, <i>SIGIR'03</i> , July 28-August 1, 2003, pp. 1-8.	
	21	Enfish, <a href="http://www.enfish.com">http://www.enfish.com</a> , printed 3/16/2004.	
	22	Fast Search & Transfer – Home – Enterprise Search, <a href="http://solutions.altavista.com/en/news/pr_020402_desktop.shtml">http://solutions.altavista.com/en/news/pr_020402_desktop.shtml</a> , printed 3/16/2004.	
	23	FERTIG, S., et al., "Lifestreams: An Alternative to the Desktop Metaphor," <a href="http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/elf.htm">http://www.acm.org/sigchi/chi96/proceedings/videos/Fertig/elf.htm</a> , pp. 1-3, printed 3/16/2004.	
	24	GEISLER, G., "Enriched Links: A Framework for Improving Web Navigation Using Pop-Up Views," pp.1-14, 2000.	
	25	ISYS Search Software – ISYS: desktop, <a href="http://www.isysusa.com/products/desktop/index.html">http://www.isysusa.com/products/desktop/index.html</a> , printed 3/16/2004.	
	26	JOACHIMS, T., et al., "WebWatcher: A Tour Guide for the World Wide Web," 1996.	
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	28	NARAIN, R., "Future of Search Will Make You Dizzy," <i>Enterprise</i> , May 20, 2004, <a href="http://www.internetnews.com/ent-news/article.php/3356831">http://www.internetnews.com/ent-news/article.php/3356831</a> , pp. 1-4, printed 5/21/2004	
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	30	RHODES, B., "Margin Notes Building a Contextually Aware Associative Memory," <i>The Proceedings of the International Conference on Intelligent User Interfaces (IUI'00)</i> , January 9-12, 2000.	
	31	RHODES, B., et al., "Just-in-time information retrieval agents," <i>Systems Journal</i> , Vol. 39, Nos. 3&4, 2000, pp. 685-704.	
	32	RHODES, B., et al., "Remembrance Agent – A continuously running automated information retrieval system," <i>The Proceedings of the First International Conference on the Practical Application of Intelligent Agents and Multi Agent Technology (PAAM '96)</i> , pp. 487-495.	

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Sheet 4 of 4

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	33	RIZZO, T., "WinFS 101: Introducing the New Windows File System," Longhorn Developer Center Home: Headline Archive: WinFS 101: Introducing the New..., <a href="http://msdn.Microsoft.com/Longhorn/archive/default.aspx?pull=/library/en-us/dnwinfs/htm...">http://msdn.Microsoft.com/Longhorn/archive/default.aspx?pull=/library/en-us/dnwinfs/htm...</a> , pp. 1-5, printed 4/21/2004.	
	34	"Searching for the next Google - New trends are helping nimble startups elbow in to the plundered market," RED HERRING - The Business of Technology, March 9, 2004, <a href="http://redherring.com/PrintArticle.aspx?a=4782&amp;sector=Capital">http://redherring.com/PrintArticle.aspx?a=4782&amp;sector=Capital</a> , pg. 1-5, printed 3/30/2004.	
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	36	SHERMAN, C., "HotBot's New Desktop Search Toolbar," <a href="http://www.searchenginewatch.com">www.searchenginewatch.com</a> , <a href="http://searchenginewatch.com/searchday/print.php/34711_339921">http://searchenginewatch.com/searchday/print.php/34711_339921</a> , pp. 1-3, printed 4/14/2004.	
	37	"Standardization Priorities for the Directory - Directory Interoperability Forum White Paper," The Open Group, December 2001, pp. 1-21.	
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	39	WebWatcher Home Page, "Welcome to the WebWatcher Project," <a href="http://www-2.cs.cmu.edu/~webwatcher/">http://www-2.cs.cmu.edu/~webwatcher/</a> , printed 10/15/2003.	
	40	"WhenU Just-In-Time Marketing," <a href="http://www.whenu.com">http://www.whenu.com</a> , printed 3/19/2004.	
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	42	ZELLWEGER, P., et al., "Fluid Links for Informed and Incremental Link Transitions," Proceedings of Hypertext'98, Pittsburgh, PA, June 20-24, 1998, pp.50-57.	

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